Machine-learning reveals climate forcing from aerosols is dominated by increased cloud cover

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Abstract:

Aerosol-cloud interactions have a potentially large impact on climate, but are poorly quantified and thus contribute a significant and long-standing uncertainty in climate projections. The impacts derived from climate models are poorly constrained by observations, because retrieving robust large-scale signals of aerosol-cloud interactions are frequently hampered by the considerable noise associated with meteorological co-variability. The Iceland-Holuhraun effusive eruption in 2014 resulted in a massive aerosol plume in an otherwise near-pristine environment and thus provided an ideal natural experiment to quantify cloud responses to aerosol perturbations. Here we disentangle significant signals from the noise of meteorological co-variability using a satellite-based machine-learning approach. Our analysis shows that aerosols from the eruption increased cloud cover by approximately 10%, and this appears to be the leading cause of climate forcing, rather than cloud brightening as previously thought. We find that volcanic aerosols do brighten clouds by reducing droplet size, but this has a significantly smaller radiative impact than changes in cloud fraction. These results add substantial observational constraints on the cooling impact of aerosols. Such constraints are critical for improving climate models, which still inadequately represent the complex macro-physical and micro-physical impacts of aerosol-cloud interactions.

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Marine low-level liquid clouds have a profound impact on the energy balance of the Earth system, exerting a net cooling effect by reflecting sunlight[1,2]. It has been previously estimated that only a 6% increase of their albedo could offset the warming from a doubling of CO_2[3,4]. Aerosol-cloud interactions (ACI) are postulated to enhance albedo and prolong the lifetime of liquid clouds[5,6], and therefore counterbalance a substantial, yet poorly constrained, portion of greenhouse gas warming[7-10], leading to only a small net positive overall forcing. As the Earth has warmed by around 1.2 °C since pre-industrial times[10,11], this would imply that the Earth system is highly sensitive, and therefore vulnerable, to anthropogenic climate forcing[12]. Such a high sensitivity would suggest a very limited remaining carbon budget if the +1.5 °C target of the 21st Conference of the Parties at Paris (COP21) is to be met[11].

Despite decades of effort, ACI still contribute significantly to uncertainties in climate projections[1,7,9-11]. A primary reason for the large uncertainty in ACI is the lack of suitable large-scale constraints to challenge General Circulation Models (GCMs)[13-15]. ACI operates through processes whereby cloud droplets form on aerosol particles. For a fixed cloud liquid water path (LWP), high concentrations of aerosol lead to more droplets with smaller effective radius (r_{eff}, Twomey r_{eff} effect[5]) which increases cloud albedo. Smaller cloud droplets may inhibit precipitation due to weakened collision-coalescence[6] and suppressed precipitation implies clouds retain more water leading to an increased LWP (LWP adjustment), and prolong their lifetime and areal extent which manifests as increased cloud fraction (CF, CF adjustment)[6]. There is clear evidence of the Twomey r_{eff} effect from numerous comprehensive satellite observations (e.g., ref. [8,15-19]), but continuous debate surrounds the LWP adjustment with different magnitudes and signs reported[8,9,15,20,21], possibly due to confounding adjustments such as effects of entrainment and droplet evaporation processes[22-26]. The CF adjustment is even more difficult to constrain owing to the large-scale impacts of meteorological co-variability[27], leading to long-standing and ongoing disputes in the scientific literature[16,19,28-32]. Satellite

observational constraints of ACI tend to be limited to either small-scale observations or large-scale climatological analyses[33]. A typical example of a small-scale observation is "ship-tracks", manifesting as brighter lines in stratocumulus cloud decks caused by ship emissions. Such small-tracks are generally able to rule out confounding meteorology[8,19], but with a scale far below the resolution of GCMs and a short temporal signature; they are therefore not ideal constraints for these models[33,34]. Climatological analyses examine the correlations between cloud properties and aerosol on a large spatiotemporal scale, but such correlations can be confounded by meteorological co-variability and therefore may not confirm the causal processes of ACI[29,33,35-38].

Here, we overcome these limitations by developing a meteorological reanalysis and satellite-based machine-learning approach that predicts cloud properties in a near-pristine environment, and compare the results with observations of clouds perturbed by the large-scale effusive Icelandic eruption of Holuhraun. The machine-learning approach is enabled by an almost threefold expansion of satellite data from Moderate Resolution Imaging Spectroradiometer (MODIS) compared to the earlier work[15], offering thus a robust training dataset. The machine-learning approach allows us to quantify ACI-induced cloud responses and show an unmistakeable increase in cloud cover. It also allows us to infer the relative contributions to ACI radiative effect from the Twomey effect, and the LWP and CF adjustments. Our results improve current understanding of cloud-induced climate change, and provide robust large-scale constraints for climate models.

Volcanic aerosol perturbation

The effusive volcanic eruption at Holuhraun in Iceland, emitted about 40,000 tonnes of SO_2 per day on average during its eruptive phase in September-October 2014 and 120,000 tonnes

95   per day at the peak of eruption[15,39]. The sulphate aerosol formed from volcanic SO_2 interacts

96   with liquid-water clouds creating an invaluable natural experiment for testing ACI hypotheses

97   at a large-scale[15]. Detecting CF changes above meteorological noise requires a larger data

98   volume and was left unexplored in the previous study[15], which uses the MODIS Aqua 2002-

99   2014 dataset. Here, by extending the satellite data to both MODIS Aqua and Terra and the

100 length of the analysis period to 2001-2020, we have sufficient training data to develop a robust

101 machine-learning approach for quantitatively disentangling Holuhraun eruption ACI signals

102 from the noise of meteorological co-variability (see Methods). We focus primarily on October

103 2014, because in this second eruption month the volcanic plume dispersed sufficiently across

104 the entire region of about 3000 km × 6000 km (45°N ~ 75°N; 60°W ~ 30°E, see Supplementary

105 Figure S6.2 in Malavelle et al.[15]). This region is an otherwise near-pristine environment and

106 encompasses the whole spectrum of liquid-dominated cloud regimes, with their frequencies of

107 occurrence being comparable to those observed globally (Extended Data Fig. 1)[15,40].

108 To disentangle the ACI signal from the noise of meteorological co-variability, we train a

109 machine-learning surrogate MODIS (ML-MODIS) using historical meteorology and MODIS

110 observations during 2001-2020 but excluding the year of the volcanic perturbation (2014, see

111 Methods). ML-MODIS is designed to predict cloud properties for given meteorological

112 conditions when unperturbed by volcanic aerosol. Our "leave-one-year-out" cross validation

113 (see Methods) shows that the surrogate ML-MODIS can reproduce the MODIS observations

114 of cloud droplet number concentration (N_d), r_{eff}, LWP and CF when no volcanic aerosol-

115 perturbation exists, as shown in the left column of Fig. 1. However, significant differences

116 between the ML-MODIS predictions and MODIS observations are observed in the presence of

117 the volcanic perturbation in October 2014 (right column of Fig. 1). Similar results are found

118 for September 2014 (Supplementary Discussion section S1).

119   We examine the ACI corresponding to the increase in N_d instead of aerosol optical depth,

120   because MODIS aerosol products are hampered by the overcast nature of the geographical

121   region and using N_d has several advantages as a mediating variable[29]. We first quantify the

122   increase in N_d and then estimate the susceptibility of other cloud properties, i.e., $dlnr_{eff}/dlnN_d$,

123    $dlnLWP/dlnN_d$, and $dlnCF/dlnN_d$. The volcano-induced increase in N_d is observed across

124   nearly the entire region with a positive signal across the zonal means (Fig. 2a). We also observe

125   a clear shift of the N_d probability distribution towards larger values due to the volcanic

126   perturbation with an average increase of 20 cm^{-3}.

127   We perform Monte Carlo analyses (see Methods) to estimate the uncertainty of ML-MODIS

128   and to quantify the impact of ACI on relevant cloud properties. In assessing the statistical

129   uncertainties, we follow the Intergovernmental Panel on Climate Change (IPCC) uncertainty

130   guideline[41] and use the 90% probabilities (that are assigned "very likely"). A validation of ML-

131   MODIS by MODIS for conditions unperturbed by Holuhraun is further achieved by these

132   results, with median and average values close to the 1:1 line (Fig. 3) and with a 90% probability

133   of the Pearson correlation coefficients (R) exceeding 0.6 for N_d, r_{eff} and CF (Extended Data

134   Fig. 2, higher than 0.5 for LWP). In contrast, the 90% probability of R being below 0.6 for all

135   cloud properties in volcano-perturbed conditions, indicates large influences of the volcanic

136   aerosol on cloud properties. We estimate a volcanic aerosol-induced increase in N_d of 28%

137   over the region (Fig. 3, showing that the ratio between ML-MODIS and MODIS is 1.27 with

138   against 0.99 without volcano), which is clearly statistically significant because the perturbation

139   lies outside the range of uncertainty of the machine-learning method. This increase is similar

140   to the ~32% increase in N_d from pre-industrial to present day according to multi-model

141   estimates[14], suggesting that the results from our analysis may be a reasonable proxy for

142   anthropogenic aerosols in terms of the strength in perturbing clouds since pre-industrial times.

143

Twomey effect and liquid water path adjustment

We first use our machine-learning approach to examine the Twomey r_{eff} effect and LWP

adjustment. We observe a consistent spatial pattern of volcano-induced increase in N_d and an

average reduction in r_{eff} (Figs. 2a and 2b) from 15.2 µm to 13.9 µm. The spatial pattern is also

consistent with the climatological MODIS anomaly analysis[15] (Extended Data Fig. 3), but with

some difference in the strength of ACI signal. This further demonstrates the viability of our

machine-learning approach in identifying changes in cloud created by volcanic aerosols above

those expected due to meteorological variability. Climatological anomalies may identify

regions influenced by the Holuhraun plume[15] but may not be robust in quantifying ACI signals

arising from Holuhraun, because the ACI signal is confounded by meteorology where 2014

conditions are not necessarily equal to climatological average. Indeed, while Malavelle et al.[15]

developed a robust method for removing the meteorological variability in the modelled

response, they also cautioned that meteorological differences from the long-term mean could

cause some of the observed response (their Figures S6.1 and S6.2). Our machine-learning

approach overcomes these issues (Methods, see also Supplementary Discussion section S1 and

S2). We estimate an 8% decrease in r_{eff} as a response to a 28% increase in N_d on average (and

median) over the geographical region (Fig. 3). In line with previous studies[8,17,31], no significant

LWP response is found when examining the region as a whole (Fig. 3 and Extended Data Fig.

4). This may be due to the cancellation of the LWP adjustment-induced increase[6] by

entrainment-induced decrease of LWP[22], as suggested by Toll et al.[8] who examined over

10,000 globally representative aerosol-perturbation tracks of small-scale in liquid clouds.

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Cloud fraction response

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167   So far results from our large-scale machine-learning approach agree with previous analyses: a

168   distinct and robust Twomey r_{eff} effect but a weak LWP adjustment (e.g., ref. [8,17,31]). We now

169   examine the adjustment of liquid phase CF, which is a macro-property of cloud and difficult to

170   examine using small-scale aerosol-induced tracks[8]. Our results of volcanic aerosol-perturbed

171   conditions show an overall increase of zonal CF at all latitudes of our domain, and a clear shift

172   of probability distribution from a median value of 0.36 to 0.39 (Fig. 2c). The CF increase

173   exhibits a spatial pattern that is consistent with the Twomey r_{eff} effect (Fig. 2b and 2c). This

174   strongly suggests that it is the aerosol perturbation that leads to increased cloud cover, since

175   the Twomey r_{eff} effect has been well documented as an ACI indicator[8,9,15,18].

176   We present the response of CF and other cloud properties over the geographical region using

177   the Monte Carlo method (Fig. 3). For all non-perturbed cloud properties, the validation shows

178   the median and average values on the 1:1 line. For volcano-induced changes in N_d and r_{eff}, we

179   confirm the expected increase and decrease respectively, but see little LWP response. For CF,

180   we observe a statistically significant median (and average) relative increase of 11% with the

181   signal variability range lying outside the uncertainty. We estimate $dlnCF/dlnN_d = 0.41$ [0.05 ~

182   1.53, 90% confidence interval], indicating a strong susceptibility of CF to aerosol-induced

183   perturbation in N_d. Rosenfeld et al.[30] found a similar strong susceptibility using a climatological

184   approach, but for the convective cores of southern ocean liquid clouds. This strong

185   susceptibility is also consistent with other studies (e.g. ref. [16,29,31,36]), although, unlike the

186   present study, their results are likely either influenced by the confounding meteorology

187   associated with the climatological correlation approach[33,36] or limited by relatively small-scale

188   Lagrangian trajectories[33]. For example, Ghan et al.[14] showed that climatological correlation

189   analysis differs greatly from perturbation analysis across multiple GCMs, despite efforts to

190   classify and isolate different meteorological regimes.

191   To back up our finding of CF increase, we perform a traditional climatological anomaly

192   analysis which shows a similar spatial pattern for the CF response (Extended Data Fig. 3c).

193   Additionally, we investigate the impact of the unusually low sea-surface temperature that

194   developed to the south of the region (Extended Data Fig. 5a) owing to factors that appear to be

195   independent from the eruption[42]. While this could affect CF, it cannot be accounted for in the

196   climatological anomaly analysis using only MODIS data. Our machine-learning approach,

197   however, accounts for this variability (Extended Data Fig. 6 and Supplementary Discussion

198   section S2). We are therefore in position to better quantify a significantly weaker CF increase

199   over the corresponding region (45°N ~ 60°N, 20°W ~ 45°W; compare Fig. 2c against Extended

200   Data Fig. 3c). We also find 14% fewer cloud-free high-resolution (1-km^2) MODIS pixels

201   during October 2014 compared to the long-term October mean. Again, this implies CF

202   increases in response to the volcanic aerosol. Any conceivable increase in cloud cover from

203   ice-clouds is also investigated and cannot be discerned (Extended Data Fig. 5b); this suggests

204   that any potential confounding effect from ice-cloud or transition to ice-cloud is small, and that

205   our results regarding ACI of liquid clouds are robust.

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207   Cloud fraction adjustment dominates radiative forcing

208   We revisit the relative contributions to ACI-induced radiative forcing from the Twomey effect,

209   LWP and CF adjustments, see Methods section "Radiative Forcing". In line with previous

210   studies[8,31], we find a weak contribution (2 ± 17%) from the LWP adjustment. However, in

211   contrast to recent studies reporting that the Twomey r_{eff} effect dominates (> 70%) the ACI

212   radiative forcing[8,19,31]; we show that, for this large-scale study across a wide range of

213   meteorological and cloud regimes, the CF adjustment (61 ± 23%) surpasses the Twomey r_{eff}

214   effect (37 ± 18%) in terms of ACI cooling (Fig. 3). This new finding may be due to the much

215 larger spatiotemporal scales of our investigation, which extends up to tens of thousands of km

216 with perturbation lasting for months. Given the large range of meteorological conditions and

217 cloud regimes included (Extended Data Fig. 1), our study appears arguably more suitable for

218 constraining large-scale climate models and ACI associated with anthropogenic emissions,

219 which themselves persist across many geographical areas and are associated with a wide variety

220 of cloud regimes.

221 Our results suggest that cooling caused by a CF increase is substantially underestimated in

222 current climate projections[10]. A recent multi-model assessment of the susceptibility of

223 $dlnCF/dlnN_d$ versus $-dlnr_{eff}/dlnN_d$ (Ghan et al.[14]; their Figure 1) suggests ratios of

224 approximately 1:3. Our results suggest that the CF adjustment is possibly larger than the

225 Twomey r_{eff} effect, since the ratio of their susceptibilities is around 5:4. It is possible that GCMs

226 compensate for the lack of CF response with overly strong LWP adjustment[8,10,15,19,34] – i.e.

227 estimate the "right" cooling but for manifestly the wrong reasons.

228 This work sheds light into certain aspects of ACI which conventionally thought to follow the

229 following route: an increase in aerosols gives rise to i) an increase in N_d leading to ii) a larger

230 number of smaller cloud droplets leading to iii) a decrease in the collision-coalescence growth

231 rate of cloud droplets, leading to iv) a reduction in precipitation leading to v) an increase in

232 LWP leading to vi) an increase in cloud lifetime leading to vii) an increase in CF. Malavelle et

233 al.[15] suggested that iv) and v) do not operate as expected, while, this new study provides strong

234 evidence for vi) and vii). This conundrum needs to be addressed in further research.

235 Suggestions for how to approach this in future work includes performing large eddy model

236 simulation of the Holuhraun event to identify difference in the ACI causal chain between the

237 heavily parameterized GCMs representation and the more explicit cloud-resolving models.

238 Identifying any changes in cloud regimes (e.g., ref. [31,40]) might also provide further clues in

239   solving this puzzle. We maintain that because clouds are such a fundamentally important

240   component of the Earth's hydrological cycle and energy flows that the underlying reasons of

241   deficient model performance need to be urgently addressed. Our findings appear to provide

242   robust new constraints for climate models, despite the uncertainties associated with machine-

243   learning and MODIS retrievals. We acknowledge that the cold SST anomaly in October 2014

244   could potentially introduce more uncertainty in the machine-learning representation of cloud

245   conditions, but this influence appears insignificant in this study (Supplementary Discussion

246   Section S2). ACI signals are statistically significant, lying outside the uncertainty range of the

247   machine-learning approach (Fig. 3). Uncertainty in the MODIS retrievals can be decomposed

248   into systematic errors and random errors. Random errors are greatly suppressed by averaging

249   over a geographical region of thousands of kilometres[43], while systematic errors are largely

250   cancelled when taking differences between MODIS and ML-MODIS[8].

251   The quantified constraints from our machine-learning study pave the way to advance our

252   current understanding of physical ACI processes, and point to new directions and challenges

253   towards future improvement of climate models. With advances in both areas, we expect that

254   our large-scale constraints on ACI will lead to reduced uncertainty in climate projections and

255   future estimates of climate sensitivity.

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Author contributions Statement

YC and JH conceived the study. YW and YC designed and developed the machine-learning approach used in this study with help from JH and JF. JH led the ADVANCE project funded by UK-NERC. YC, FM, JG and JH performed the analysis of MODIS data with help from DG, NC, LO and SEP. NC, LO and SEP performed the cloud regime analysis. YC, JH, YW, DG, UL, PF, LO, SEP, JdL, AS, DP and JF contributed to the uncertainty discussion. YC and JH performed the analyses and interpreted the results with inputs from all co-authors. YC and JH led the manuscript writing with specific inputs and edits from DG, LO and UL. All co-authors discussed the results and commented on the manuscript.

Competing Interests Statement: The authors declare no competing interests.

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Figure Legends/Captions:

Fig. 1 | Comparison between machine-learning predictions (ML-MODIS) and MODIS observations. Left panels (a-d): validation against non-perturbed observations (excluding 2014) of cloud properties, from top to bottom they show cloud droplet number concentration (N_d), cloud droplet effective radius (r_{eff}), cloud liquid water path (LWP) and cloud fraction (CF). Right panels (e-h): volcanic perturbation signals in October 2014, indicated by the difference between the machine-learning predictions and the observations. October MODIS observations from Aqua (2002-2020) and Terra (2001-2020) are analyzed. Colour indicates the normalized data density function with a maximum value of one, with 80% of the data being contained within the black dashed area.

Fig. 2 | Changes in cloud properties caused by the volcanic perturbation estimated using machine-learning predictions and MODIS observations for October 2014**.** The spatial distribution and zonal means of the changes in N_d, r_{eff} and CF are shown in the left panels of **a-c** while right panels show probability density functions (so that the areas under the curves are equivalent) for MODIS and ML-MODIS.

Fig. 3 | Responses of cloud properties to the volcanic aerosol-perturbation in October 2014. The aerosol-cloud interactions (ACI) signals of responses are indicated as the ratios between MODIS (Aqua and Terra) observations and machine-learning predictions, i.e., Ratio = MODIS divided by ML-MODIS. Uncertainties of non-perturbed baseline references are estimated using a Monte Carlo method and are shown in black (see Methods, based on non-volcanic October datasets spanning 2001-2020). The variability of the cloud responses to the Holuhraun volcanic aerosol perturbation are shown in pink. The boxplots show 10th, 25th, median (Med.), 75th and 90th percentiles with the mean value indicated by a dot. The susceptibilities of r_{eff}, LWP and CF to changes in N_d are given in a green colour, median [90% confidence interval]. Area (in units of km^2) weighted averaging is used to calculate average cloud properties over the geographical region (Fig. 2), in order to estimate an unbiased large-scale response signal. Therefore, the ratios shown here are slightly different from the slopes shown in Fig. 1, in which area-weighted averaging is not applied.

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Methods

MODIS observations

We used the Level-3 products of MODIS Collection 6.1, i.e., MYD08 for 2002-2020 from Aqua and MOD08 for 2001-2020 from Terra. The reported retrieval bias due to instrument degradation in Terra-MODIS Collection 5.1 datasets[15] has been rectified in Collection 6.1. An inadvertent artifact in the calculations of cloud fraction (derived from cloud optical property) in Collection 5.1 has also been removed in Collection 6.1[44] and both Terra-MODIS and Aqua-MODIS now show consistent results[45,46]. Cloud droplet effective radius (r_{eff}), in-cloud liquid water path (LWP), cloud optical thickness and cloud phase are retrieved from observed radiances using a radiative transfer model at 1-km nadir resolution in Level-2 products and aggregated to the $1^o \times 1^o$ Level-3 products[46]. The Level-3 Cloud Optical Property Cloud Fraction product for the liquid phase (dataset name Cloud Retrieval Fraction Liquid)[46] is used in the cloud fraction (CF) analysis, because this CF product can distinguish between clouds of liquid and ice phase and is consistent with the other microphysical retrievals of cloud properties used in this study. Note that ref. [17] used MODIS Collection 6 data, and used cloud fraction derived from the cloud mask[47] multiplied by the fractional liquid cloud and found a more modest increase in cloud fraction of ~1.7% in October. Differences between our findings and those from ref. [17] in the climatological analysis likely arise from a combination of the use of different CF products, the extension of the MODIS data to include data from 2015-2020, and differences in the areas of investigation. Monthly-mean products are used in this study, with differences being negligible when aggregating Level-3 daily products into monthly means[15,32]. An exception is liquid cloud droplet number concentration (N_d) which is derived from r_{eff} and cloud optical thickness assuming adiabatic conditions[8,20,31,48], and because of non-linear dependences, N_d is first obtained daily and then averaged to monthly means[48,49]. Only pixels with r_{eff} between 4 µm to 30 µm and cloud optical thickness between 4 to 70 are used for the

464   most reliable N_d retrievals[49]. The uncertainty of the derived N_d is discussed in detail in

465   Grosvenor et al.[43] who estimated that the uncertainty can be largely reduced to about 50% when

466   averaged over $1^o \times 1^o$ regions. The uncertainty is expected to be even smaller in our study, since

467   we average across a geographical region of about 3000 km $\times$ 6000 km.

468   To further back up our finding of increased CF, we also analysed the frequency of cloud-free

469   conditions in arguably the most stringent MODIS product, namely pixels with retrieved aerosol

470   optical depth (AOD) at 550 nm which are used as a proxy of cloud-free pixels. This pixels are

471   most stringent because any thin or sub-grid scale cloud is screened out to prevent contamination

472   of AOD retrievals. Level-3 monthly MODIS AOD products record the number of validated 1-

473   km^2 pixels used in the Level-2 products when performing aggregation. These statistics are used

474   to calculate the relative reduction of cloud-free pixels in our region in October 2014 relative to

475   the long-term 2001-2020 October mean excluding 2014. While the number of pixels with AOD

476   retrievals do not have a one-to-one correspondence to the number of cloud-free pixels because

477   factors such as sun-glint in cloud-free pixels can reduce the number of AOD pixels, it is still a

478   good relative (rather than absolute) proxy for cloud-free pixels.

479

480   **Meteorological reanalyses**

481   Meteorological reanalyses represent the best estimate of global atmospheric conditions[50], and

482   are available from the European Centre for Medium-Range Weather Forecasts ERA5 products

483   (https://cds.climate.copernicus.eu/). To train the machine-learning surrogate MODIS (ML-

484   MODIS), we use the monthly averaged ERA5 reanalysis from the surface up to 550 hPa level

485   at $0.25^o \times 0.25^o$ horizontal resolution and 50 hPa vertical resolution. This vertical range covers

486   most of the low-level liquid clouds. In total, 114 meteorological parameters are re-gridded to

487   MODIS grid cells and used in the training, details of which are provided in Supplementary

488   Table S1. The ERA5 monthly reanalysis products at 11:00 and 13:00 Icelandic time (same as

489   UTC) are closest to the daytime Terra and Aqua overpass times and are paired with the

490   respective MODIS products from these satellites for the training.

491

492   **Machine-learning**

493   Previous studies that use machine-learning to investigate the statistical correlation between

494   cloud properties and aerosol (e.g., ref. [36,51]) can possibly be affected by confounding

495   meteorological co-variability that would prevent confirmation of the causal processes of

496   aerosol-cloud interactions (ACI)[33,36]. Here, we use a random forest algorithm[52] to train a ML-

497   MODIS that diagnoses cloud properties for given meteorological conditions but unperturbed

498   by volcanic aerosol. This allows comparisons of cloud properties between conditions with and

499   without volcanic aerosol-perturbation but otherwise alike, therefore quantifying cloud

500   responses only to volcanic aerosol, i.e. signals of ACI. Note that this machine-learning

501   approach is not designed to calculate the temporal evolution of cloud properties and cannot

502   predict the development of meteorological systems. The latter is obtained from the ERA5

503   reanalysis, which provides the best estimate of atmospheric state[50].

504   The random forest algorithm is chosen because of its excellent performance in dealing with

505   relatively small sample sizes and high-dimensional feature spaces and in avoiding over-

506   fitting[52,53]. Random forest based machine-learning has been successfully applied to isolate the

507   confounding meteorological variability in air quality assessments and has been shown to

508   perform much better than multinomial regression models[54-56]. A regression mode forest of one

509   hundred trees is trained independently for each cloud property (N_d, r_{eff}, LWP and CF) and for

510   each month (October and September), with a minimal leaf size of seven for each tree without

511   merge leaves. Each tree samples ~60% of the input data with replacement for the training data

512   and the remaining data is used as out-of-bag observations. With larger forests, we find a

513   negligible reduction in out-of-bag mean squared error and a negligible increase in out-of-bag

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514 coefficient of determination (a more informative estimate of performance than mean squared

515 error[57]) of up to 0.87 for CF prediction. This indicates a good stability and avoidance of over-

516 fitting[58]. The number of randomly selected predictors is 38 (one third of the total number of

517 features) and the interactive-curvature method is used to select split predictors. The ERA5

518 meteorological reanalysis is independent of the MODIS datasets, which are not assimilated in

519 the reanalysis[50], and provides the explanatory variables in the ML-MODIS training. The

520 dependent variables are the corresponding cloud properties observed by MODIS with no

521 volcanic eruption. The successful training of ML-MODIS is enabled by the large MODIS

522 dataset from continuous observations over the past 20 years on two satellite platforms. We

523 employ the "out-of-bag permuted predictor delta error" method[52,59] to measure the importance

524 of each explanatory feature in predicting cloud properties. The results for CF shown in

525 Extended Data Fig. 6.

526 The performance of ML-MODIS as a surrogate of the MODIS observations under conditions

527 without the volcanic perturbation is evaluated using "leave-one-year-out" cross validation[60] for

528 each cloud property, as shown in the left panels of Fig. 1 and Extended Data Fig. 7. This

529 involves training ML-MODIS using randomly selected sets of 18 years of ERA5-MODIS

530 dataset pairs and then evaluating ML-MODIS against the remaining 19th year of MODIS

531 observations. This evaluation is carried out for each non-eruption year during 2001-2020. The

532 uncertainty of ML-MODIS is further estimated using a Monte Carlo method, and the variability

533 of the reference baselines are shown as black boxplots in Fig. 3 and Extended Data Fig. 8a. For

534 the Monte Carlo uncertainty estimate, we randomly perform "leave-one-year-out" validation

535 500 times for each cloud property, by excluding both Terra and Aqua datasets of the randomly

536 selected year over the entire region from machine-learning training but use them for validation.

537 A test for N_d using the validation of a 700-member Monte Carlo ensemble showed negligible

538 differences. The ratios of cloud properties between the ML-MODIS prediction (without

539 volcano-perturbation) and MODIS observations in 2014 (with volcano-perturbation) are in

540 pink in Fig. 3 for October and in Extended Data Fig. 8a for September, with the pink boxplots

541 showing the variability of all decision-trees within the random forest Monte Carlo ensembles,

542 i.e., the variability of the ACI signals.

543

544 **Radiative forcing**

545 We estimate the relative contributions from the Twomey r_{eff}, LWP adjustment, and CF

546 adjustment to ACI-induced radiative forcing using the susceptibilities of r_{eff}, LWP and CF to

547 N_d perturbations. The radiative forcing arising from cloud albedo brightening can be described

548 as Eq. (1) at a constant CF[8,9,61], and the forcing arising from CF enhancement can be described

549 as Eq. (2) at a constant cloud albedo α_{cld}.

$$\left.\frac{dSW_{TOA}}{d\ln AOD}\right|_{CF} = -SW_{down} \times CF \times \alpha_{cld} \times (1-\alpha_{cld}) \times \frac{d\ln N_d}{d\ln AOD} \times (\frac{1}{3} + \frac{5}{6}\frac{d\ln LWP}{d\ln N_d}) \quad (1)$$

550

$$\left.\frac{dSW_{TOA}}{d\ln AOD}\right|_{albedo} = -SW_{down} \times (\alpha_{cld} - \alpha_{cs}) \times \frac{dCF}{d\ln AOD} = -SW_{down} \times (\alpha_{cld} - \alpha_{cs}) \times CF \times \frac{d\ln CF}{d\ln N_d} \times \frac{d\ln N_d}{d\ln AOD} \quad (2)$$

551 where dSW_{TOA} is the short-wave radiative forcing at the top of atmosphere, SW_{down} is the

552 incoming short-wave solar radiation at the top of the atmosphere, α_{cld} is the average broadband

553 short-wave cloud albedo with a global mean of 0.38 for liquid clouds[9], and α_{cs} is clear-sky

554 broadband ocean surface albedo which is about 0.07 for representative of global average (solar

555 zenith angle of 60 degrees)[62]. The total ACI-induced short-wave radiative forcing is the sum

556 of Eq. (1) and Eq. (2), as shown in Eq. (3).

$$\frac{dSW_{TOA}}{d\ln AOD} = \left.\frac{dSW_{TOA}}{d\ln AOD}\right|_{albedo} + \left.\frac{dSW_{TOA}}{d\ln AOD}\right|_{CF}$$

$$= -SW_{down} \times CF \times \frac{d\ln N_d}{d\ln AOD} \times [\frac{1}{3}\alpha_{cld}(1-\alpha_{cld}) + \alpha_{cld}(1-\alpha_{cld}) \times \frac{5}{6}\frac{d\ln LWP}{d\ln N_d} + (\alpha_{cld}-\alpha_{cs})\frac{d\ln CF}{d\ln N_d}] \quad (3)$$

557   The radiative forcing contributions from the Twomey r_{eff} effect, LWP adjustment and CF

558   adjustment are described as the three terms in the square bracket from left to right, respectively.

559

560   **Data availability:** The MODIS cloud and aerosol products from Aqua (MYD08_L3) and Terra

561   (MOD08_L3) used in this study are available from the Atmosphere Archive and Distribution System

562   Distributed Active Archive Center of National Aeronautics and Space Administration (LAADS-DAAC,

563   NASA), https://ladsweb.modaps.eosdis.nasa.gov. ERA5 datasets are available from the European Centre for

564   Medium-range Weather Forecast (ECMWF) archive, https://cds.climate.copernicus.eu. The full datasets

565   shown in the figures are provided in source data files.

566   **Code availability:** Code is available from the corresponding author on reasonable request.

567

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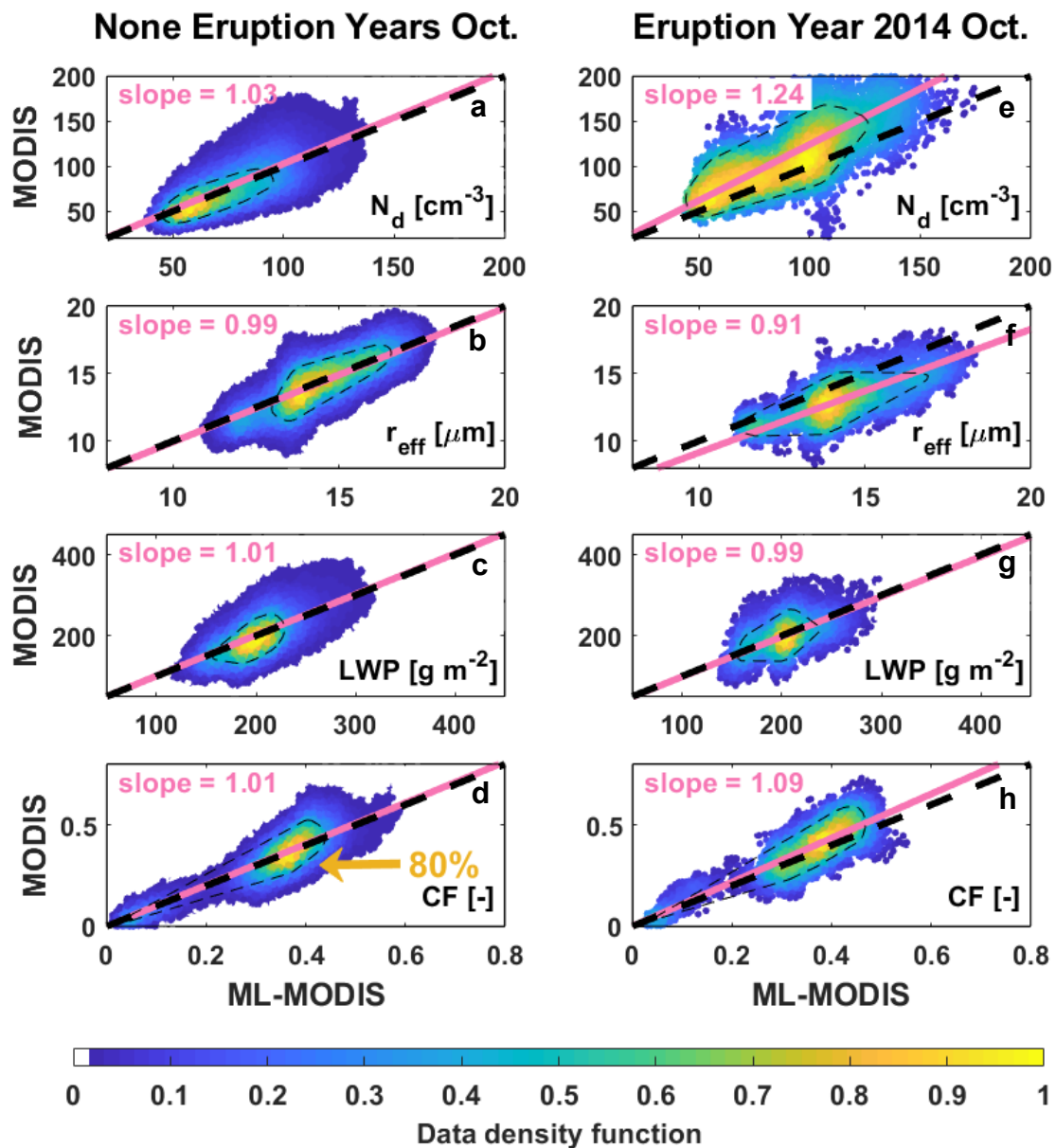
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Fig. 1 | Comparison between machine-learning predictions (ML-MODIS) and MODIS observations. Left panels (a-d): validation against non-perturbed observations (excluding 2014) of cloud properties, from top to bottom they show cloud droplet number concentration (N_d), cloud droplet effective radius (r_{eff}), cloud liquid water path (LWP) and cloud fraction (CF). Right panels (e-h): volcanic perturbation signals in October 2014, indicated by the difference between the machine-learning predictions and the observations. October MODIS observations from Aqua (2002-2020) and Terra (2001-2020) are analyzed. Colour indicates the normalized data density function with a maximum value of one, with 80% of the data being contained within the black dashed area.

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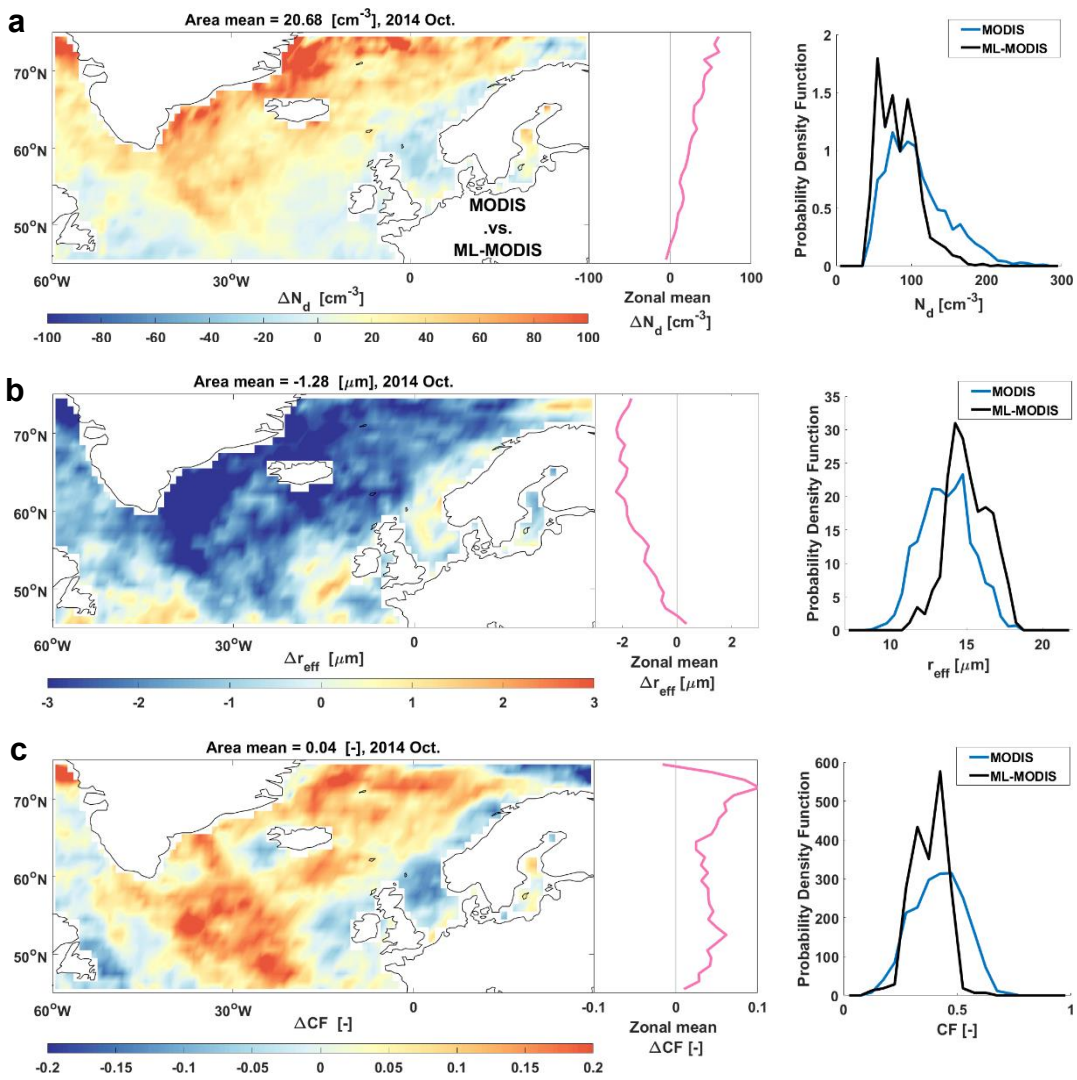
Fig. 2 | Changes in cloud properties caused by the volcanic perturbation estimated using machine-learning predictions and MODIS observations for October 2014**.** The spatial distribution and zonal means of the changes in N_d, r_{eff} and CF are shown in the left panels of **a-c** while right panels show probability density functions (so that the areas under the curves are equivalent) for MODIS and ML-MODIS.

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Fig. 3 | Responses of cloud properties to the volcanic aerosol-perturbation in October 2014.
The aerosol-cloud interactions (ACI) signals of responses are indicated as the ratios between
MODIS (Aqua and Terra) observations and machine-learning predictions, i.e., Ratio = MODIS
divided by ML-MODIS. Uncertainties of non-perturbed baseline references are estimated using
a Monte Carlo method and are shown in black (see Methods, based on non-volcanic October
datasets spanning 2001-2020). The variability of the cloud responses to the Holuhraun volcanic
aerosol perturbation are shown in pink. The boxplots show 10th, 25th, median (Med.), 75th and
90th percentiles with the mean value indicated by a dot. The susceptibilities of r_{eff}, LWP and CF
to changes in N_d are given in a green colour, median [90% confidence interval]. Area (in units
of km^2) weighted averaging is used to calculate average cloud properties over the geographical
region (Fig. 2), in order to estimate an unbiased large-scale response signal. Therefore, the ratios
shown here are slightly different from the slopes shown in Fig. 1, in which area-weighted
averaging is not applied.

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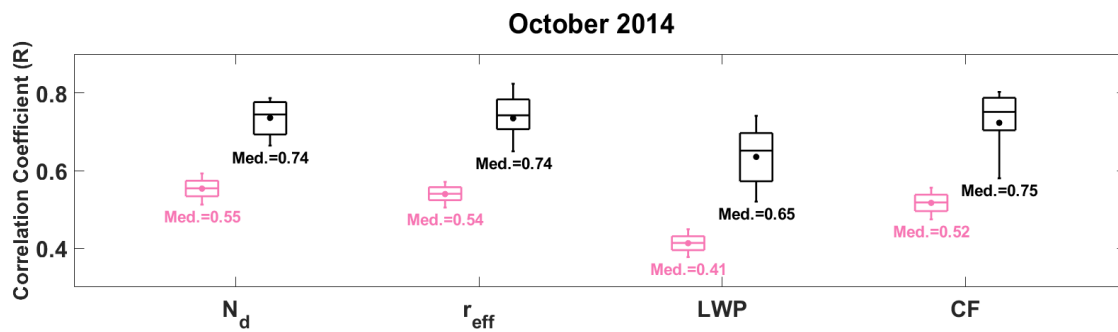# Extended Data Figures.

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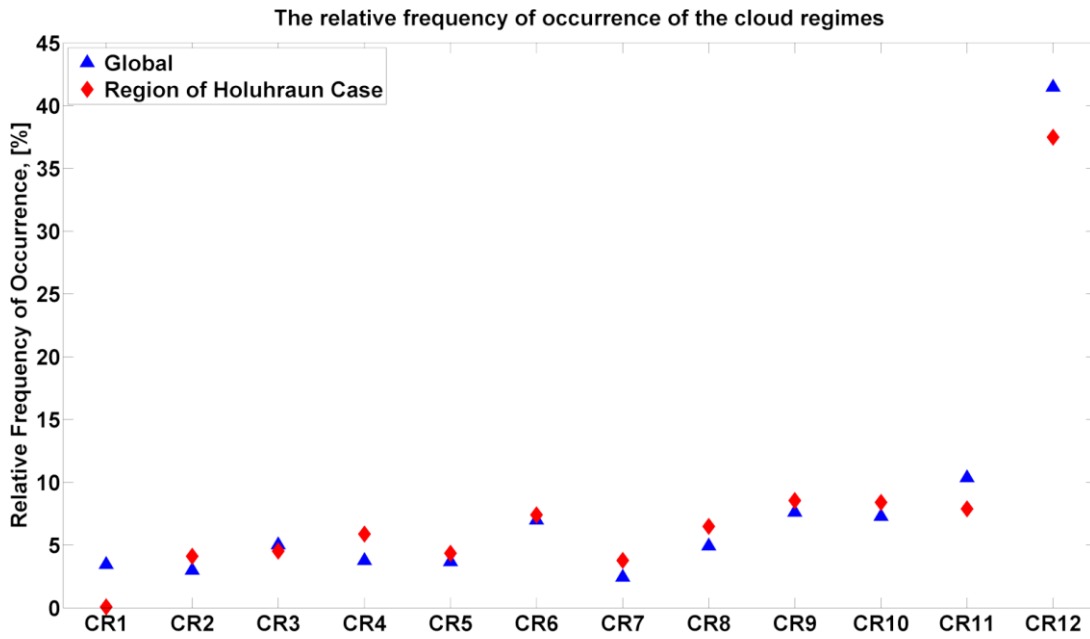
Extended Data Fig. 1 | Relative frequency of occurrence (RFO) of cloud regimes. The
RFO values of the region studied here in September-October 2014 are given in red diamonds,
data sourced from Malavelle et al.[15]. The RFO values during 2002-2014 globally are given in
blue triangles, data sourced from Oreopoulos et al.[40]. CR6-CR11 are liquid-dominated cloud
regimes, and the others are ice-dominated cloud regimes. The details of each cloud regime are
given in the above references accordingly.

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Extended Data Fig. 2 | Correlation coefficient between machine-learning predictions and MODIS observations of cloud properties, including liquid cloud droplet number concentration (N_d), liquid droplet effective radius (r_{eff}), liquid water path (LWP) and liquid cloud fraction (CF). The Monte Carlo results of ML-MODIS validation against MODIS observations without volcanic aerosol-perturbation are given in black. The variations of comparisons with volcanic aerosol-perturbation in October 2014 are given in pink. The boxplot shows 10th, 25th, median (Med.), 75th and 90th percentiles with the mean value indicated by a dot.
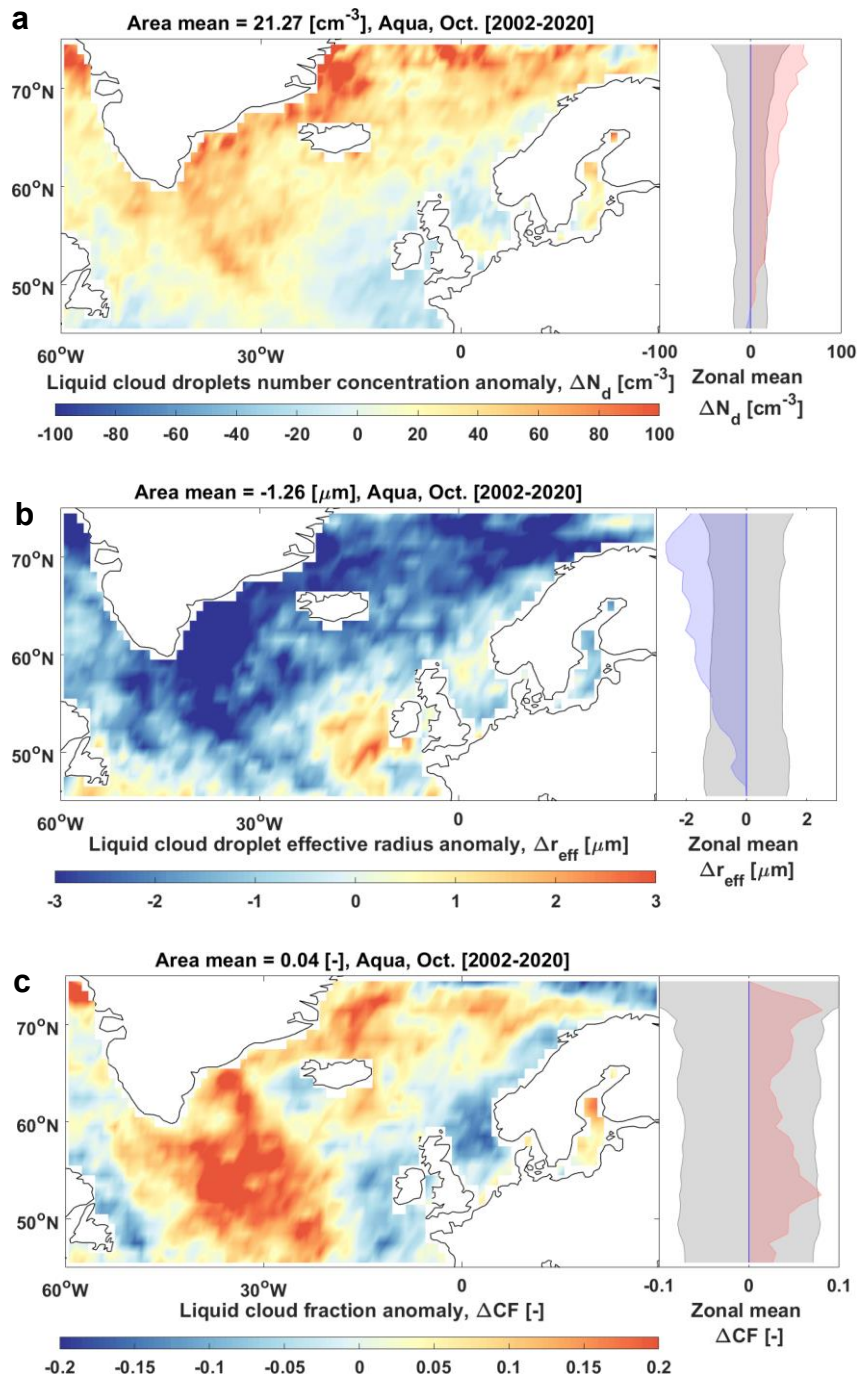
Extended Data Fig. 3 | Anomalies in MODIS-Aqua cloud properties for October 2014. The spatial distributions and zonal means of anomalies in N_d, r_{eff} and CF are shown in the panels **a-c**. Anomalies correspond to the deviation from the 2002-2020 climatology (excluding the 2014 eruption year). The positive anomalies are shown in red and negative ones in blue. The standard deviation is shown by the grey shading.
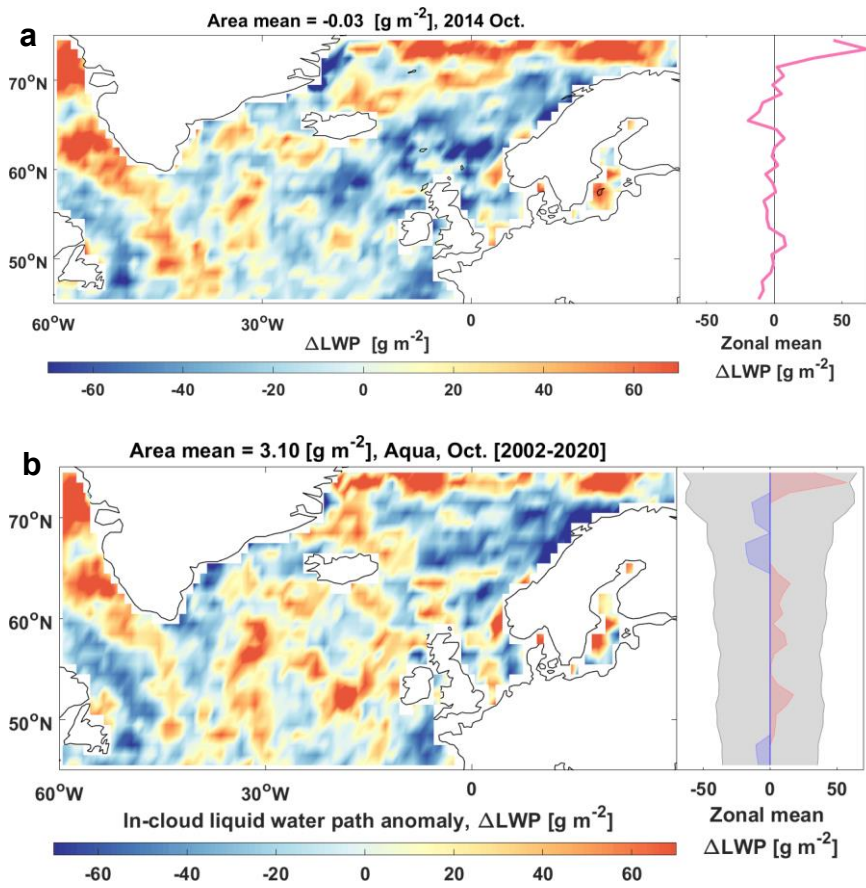
Extended Data Fig. 4 | Change (a) and anomaly (b) in liquid water path (LWP). **a)** Similar to Fig. 2, changes are detected using machine-learning; **b)** similar to Extended Data Fig. 3, anomaly corresponds to the deviation from 2002-2020 climatology.

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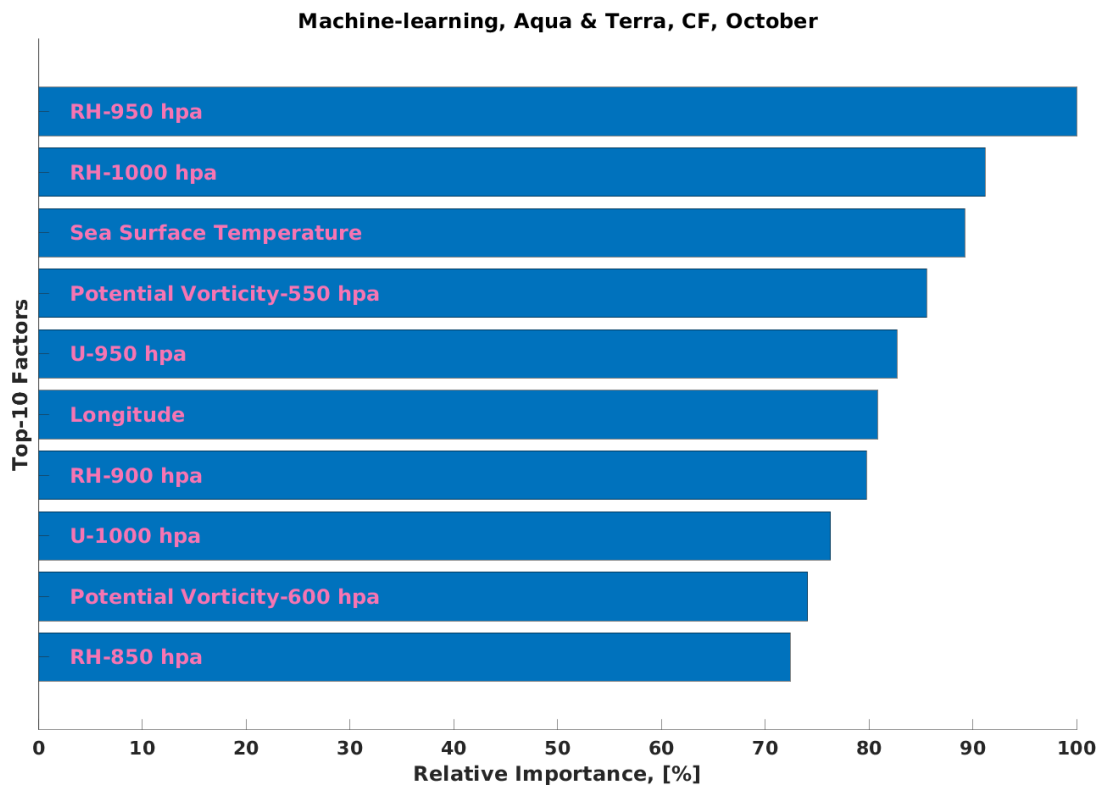697

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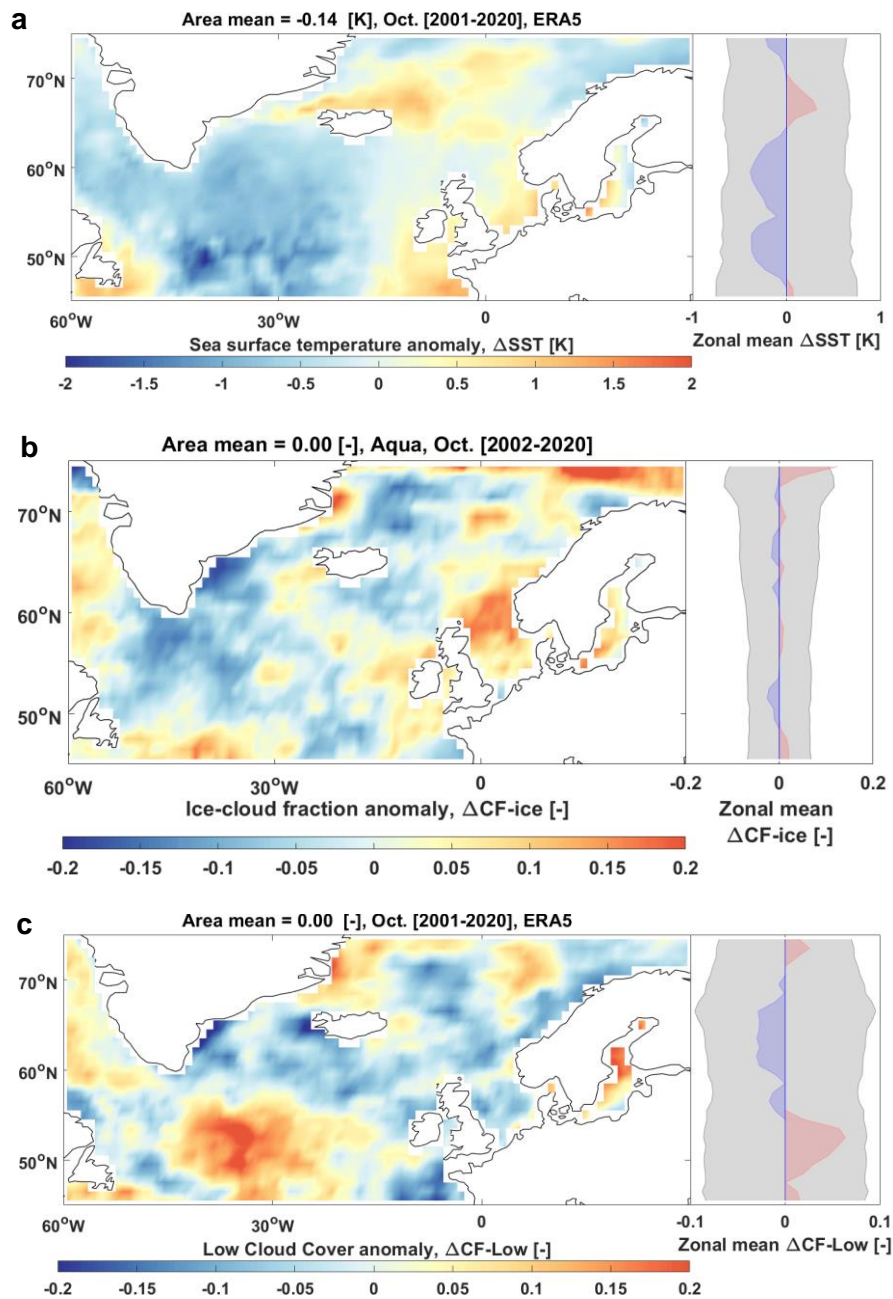
Extended Data Fig. 5 | Similar to Extended Data Fig. 3, but show anomaly in sea-surface temperature **(a),** anomaly in ice-cloud fraction in October 2014 **(b),** and climatological anomaly of low-level cloud cover in October 2014 using ERA5 reanalysis **(c).**

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Machine-learning, Aqua & Terra, CF, October

Extended Data Fig. 6 | The top-10 most important features for machine-learning to predict unperturbed liquid cloud fraction in October. The feature importance is normalized with the maximum as 100%. The value of these features in 2014 are entirely within the variation range of machine-learning training dataset, see Extended Data Fig. 10.

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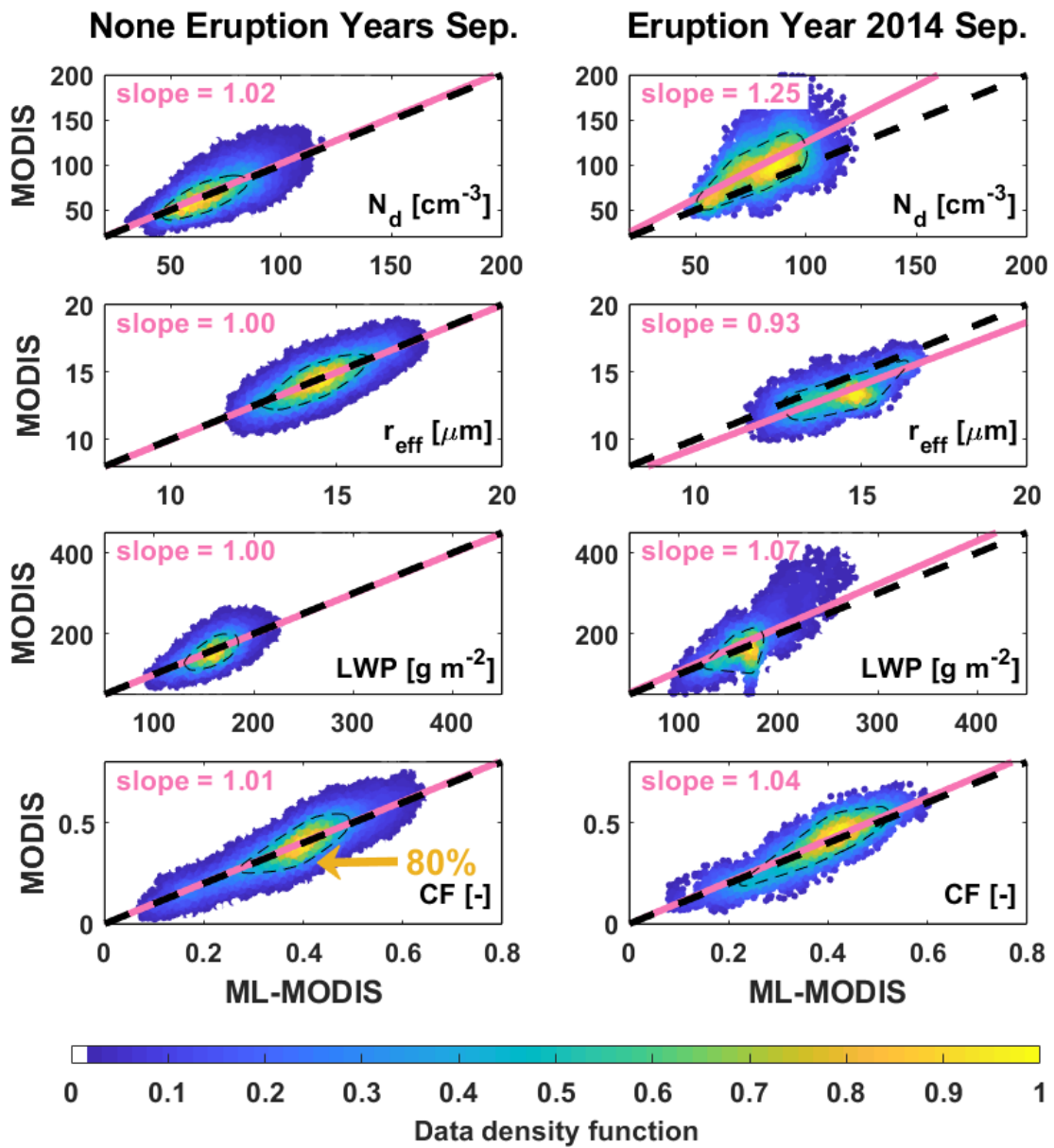
Extended Data Fig. 7 | Similar to Fig. 1, but show results in September 2014.
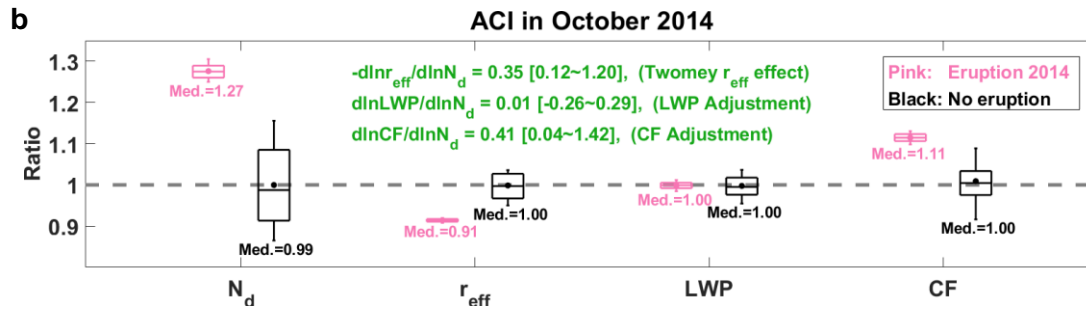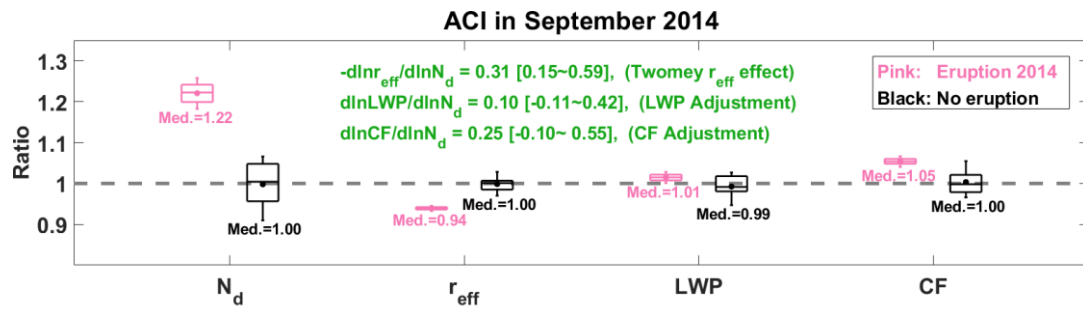
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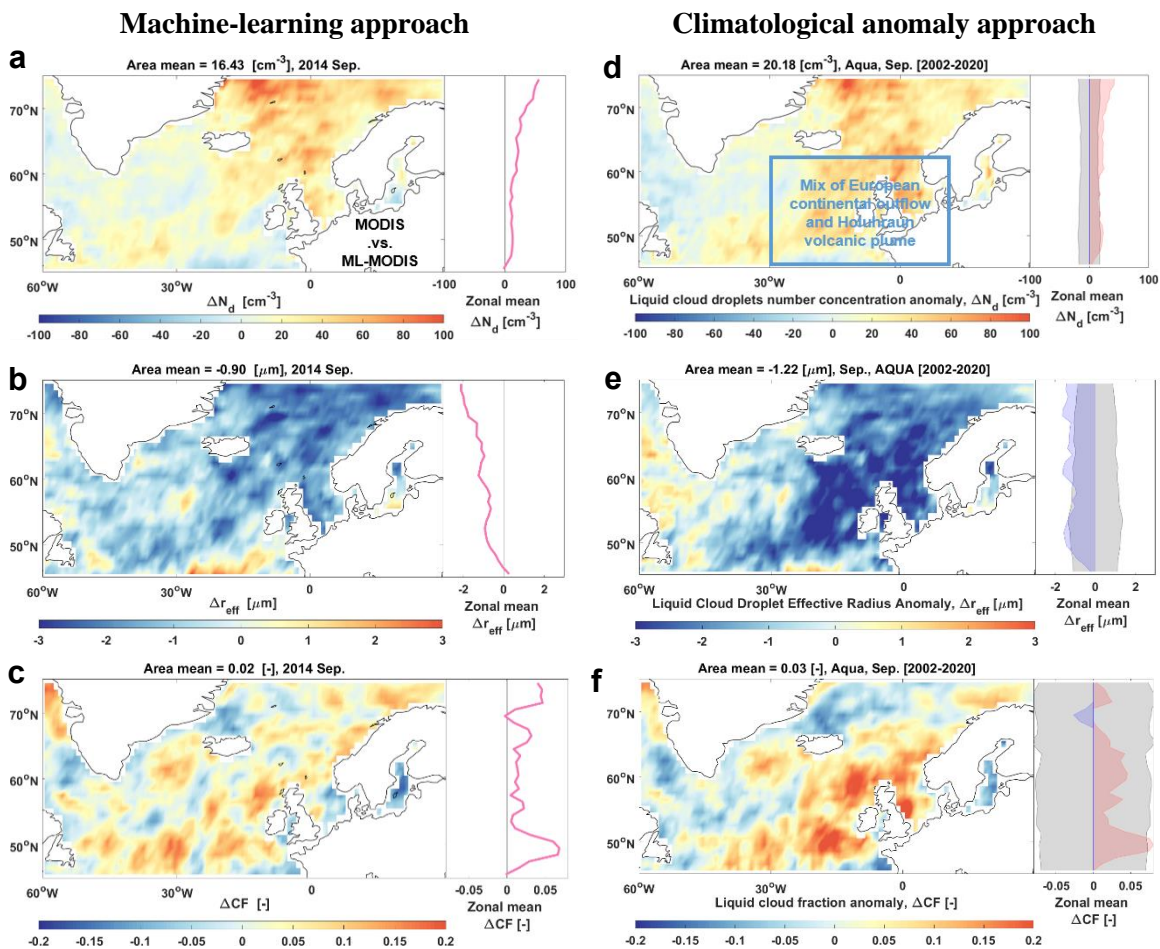
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ACI in September 2014

ACI in October 2014

Extended Data Fig. 8 | Similar to Fig. 3. Panel a shows results in September 2014**. Panel b** shows results in October 2014 but excluding the regions where the cold anomalous SSTs were outside the variation range at the same location.

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Machine-learning approach   Climatological anomaly approach

Extended Data Fig. 9 | Cloud responses to Holuhraun volcanic aerosol in September 2014.
Left panels **a-c (similar to Fig. 2 but for September 2014)** show cloud responses to volcanic aerosol using machine-learning (ML) approach. Right panels **d-f (similar to Extended Data Fig. 3 but for September 2014)** show anomalies in cloud properties.
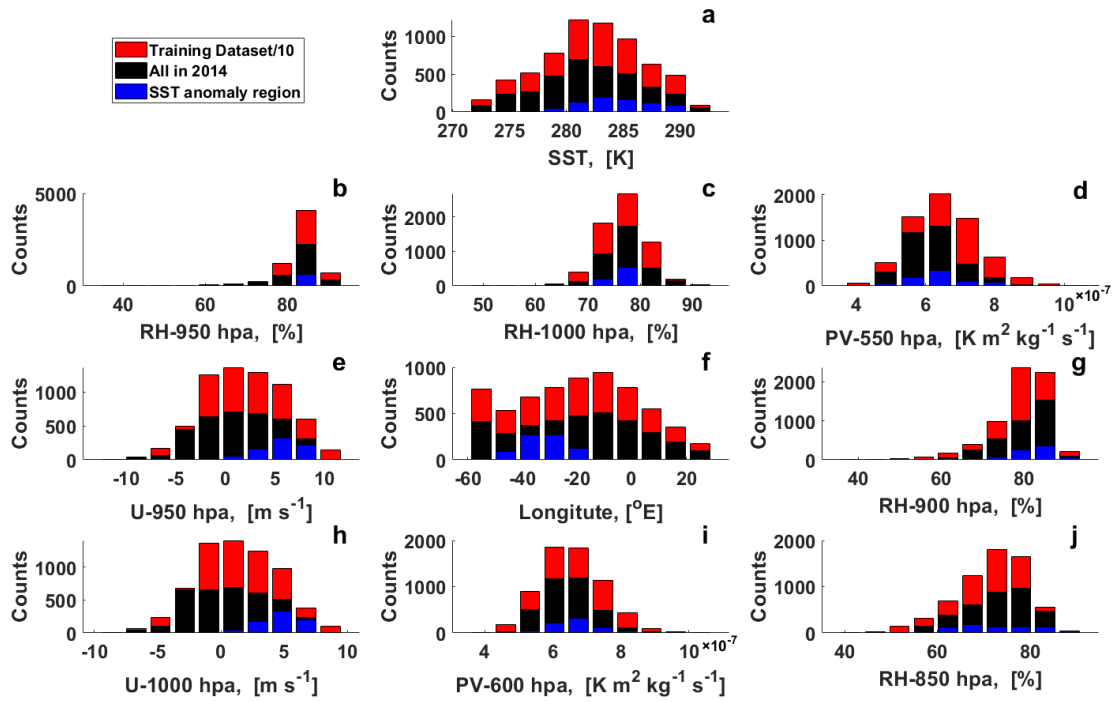
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Extended Data Fig. 10 | Probability distribution of the top-10 most important features, as shown in Extended Data Fig. 6. Red bars indicate the counts (scaled by 0.1 to fit the display range) of the training data in each bin, which covers the entire variability range of black and blue bars; black bars indicate the data counts from the entire studied region in October 2014; and blue bars indicate the counts from the SST anomaly region only. Note that the counts per longitude are different, because we only consider data over the oceans.

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